

FORM FTC-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER 2865-360
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/937709
INTERNATIONAL APPLICATION NO. PCT/EP01/00566	INTERNATIONAL FILING DATE 22 January 2001	PRIORITY DATE CLAIMED 7 February 2000
TITLE OF INVENTION CLOSURE FOR CONTAINERS, IN PARTICULAR PLUG FOR BOTTLES		
APPLICANT(S) FOR DO/EO/US FRAGOLA, Felice		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p>Items 11 To 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, w/PTO-1449 Form, Int'l Search Report and References.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. Submission of Formal Drawings w/12 sheets of Formal Drawings</p>		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.492(e)) 097937709	INTERNATIONAL APPLICATION NO. PCT/EP01/00566	ATTORNEY'S DOCKET NUMBER 2865-360																													
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																													
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): <ul style="list-style-type: none"> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO..... \$740.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00 																															
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890.00																													
<i>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).</i>		\$ 0.00																													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">CLAIMS</th> <th style="text-align: left; padding: 2px;">NUMBER FILED</th> <th style="text-align: left; padding: 2px;">NUMBER EXTRA</th> <th colspan="2" style="text-align: center; padding: 2px;">RATE</th> <th style="text-align: right; padding: 2px;">\$</th> <th style="text-align: right; padding: 2px;">486.00</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Total Claims</td> <td style="padding: 2px;">47</td> <td style="padding: 2px;">-20 =</td> <td style="text-align: center; padding: 2px;">27</td> <td style="text-align: center; padding: 2px;">X</td> <td style="text-align: right; padding: 2px;">\$18.00</td> <td style="text-align: right; padding: 2px;">\$ 486.00</td> </tr> <tr> <td style="padding: 2px;">Independent Claims</td> <td style="padding: 2px;">1</td> <td style="padding: 2px;">-3 =</td> <td style="text-align: center; padding: 2px;">0</td> <td style="text-align: center; padding: 2px;">X</td> <td style="text-align: right; padding: 2px;">\$84.00</td> <td style="text-align: right; padding: 2px;">\$ 0.00</td> </tr> <tr> <td colspan="3" style="padding: 2px;">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td colspan="2" style="text-align: center; padding: 2px;">\$280.00</td> <td style="text-align: right; padding: 2px;">\$</td> <td style="text-align: right; padding: 2px;">280.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$	486.00	Total Claims	47	-20 =	27	X	\$18.00	\$ 486.00	Independent Claims	1	-3 =	0	X	\$84.00	\$ 0.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$280.00		\$	280.00	TOTAL OF ABOVE CALCULATIONS =	\$ 1656.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$	486.00																									
Total Claims	47	-20 =	27	X	\$18.00	\$ 486.00																									
Independent Claims	1	-3 =	0	X	\$84.00	\$ 0.00																									
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$280.00		\$	280.00																									
<input checked="" type="checkbox"/> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ 828.00																													
		SUBTOTAL =	\$ 828.00																												
<i>Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).</i>		+ 0.00																													
		TOTAL NATIONAL FEE =	\$ 828.00																												
<i>Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property</i>		+ 40.00																													
<i>Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00)</i>		\$ 0.00																													
		TOTAL FEES ENCLOSED =	\$ 868.00																												
		Amount to be: refunded	\$																												
		Charged	\$																												
<ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> A check in the amount of \$868.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. c. <input checked="" type="checkbox"/> A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A <u>duplicate</u> copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application. 																															
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																															
SEND ALL CORRESPONDENCE TO: NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000		 Frank P. Presta NAME																													
		19,828	October 1, 2001																												
		REGISTRATION NUMBER	Date																												

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

FRAGOLA, Felice

Atty. Ref.: 2865-360

National Phase of Int'l Appln. No. PCT/EP01/00566 Group:

(Filed: 22 January 2001)

Examiner:

For: CLOSURE FOR CONTAINERS, IN PARTICULAR PLUG FOR BOTTLES

* * * * *

October 1, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUBMISSION OF FORMAL DRAWINGS

Enclosed herewith are 12 sheets of formal, inked drawings for the above-identified application.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



Frank P. Presta
Reg. No. 19,828

FPP:tat

1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100